



IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2003U007.US
In Re Application of: Michael Allen Kinnan et al.			
U.S. Serial No. 10/788,631	Filing Date February 27, 2004	Examiner Unassigned	Group Art Unit 1713

Address to:
Mail Stop Non-Fee Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:
☐ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

km 10/04
Date

Kevin M. Faulkner
Kevin M. Faulkner
Registration No. 45,427
Univation Technologies, L.L.C.
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723
(713) 892-3729 Voice
(713) 892-3687 Facsimile

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/788,631
Filing Date	02/27/2004
First Named Inventor	Michael Allen Kinnan
Group Art Unit	1713
Examiner Name	Unassigned
Attorney Docket Number	2003U007.US

Total Number of Pages in this Submission **4**

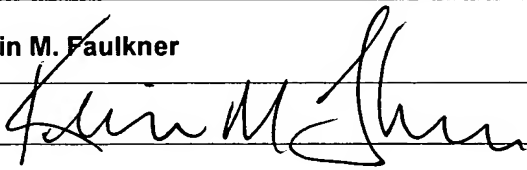
+ References

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Preliminary Amendment / Response | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> After Final Affidavits/declaration(s) | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input checked="" type="checkbox"/> 1449 Form | <input type="checkbox"/> Terminal Disclaimer | <u>Acknowledgment Postcard</u> |
| <input checked="" type="checkbox"/> Copies of Cited References | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Documents) | | |
| <input type="checkbox"/> Response to Missing Part/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts | | |

REMARKS

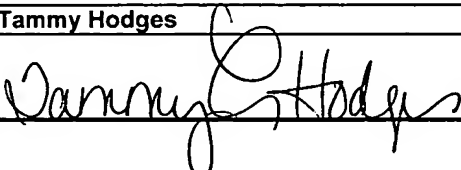
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Kevin M. Faulkner	Registration No.	45,427
Signature			
Date			

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

June 10, 2004

Typed or printed name	Tammy Hodges	Signature		Date	June 10, 2004
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FORM - 1449

SHEET 1 OF 1

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.		
				2003U007.US		10/788,631		
				APPLICANT				
				Michael Allen Kinnan				
				FILING DATE		GROUP		
02/27/2004		1713						
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,391,657	02/21/1995	Song et al.	526	74		
	AB	5,442,019	08/15/1995	Agapiou et al.	526	82		
	AC	6,028,140	02/22/2000	Collina et al.	525	53		
	AD	6,284,849	09/04/2001	Almquist et al.	526	82		
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AG	62232404-A	10/12/1987	Japan			Abstract	
	AH	09095509-A	04/08/1997	Japan			Abstract	
	AI							
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	AN							
	AO							
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant								